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|  |                          |                    |  |
|--|--------------------------|--------------------|--|
| <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b><br><b>(37 CFR 1.63)</b><br><br><input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required) | Attorney Docket Number   | 710101-1170        |  |
|  | First Named Inventor     | Polk, et al.       |  |
|  | <b>COMPLETE IF KNOWN</b> |                    |  |
|  | Application Number       |                    |  |
|  | Filing Date              | September 22, 2003 |  |
|  | Group Art Unit           |                    |  |
|  |                          | Examiner Name      |  |

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invent entitled:

SYSTEM AND METHOD FOR INTERLEAVING FORWARD ERROR CORRECTION CODE WORDS ACROSS MULTIPLE COMMUNICATION CONNECTIONS

(Title of the Invention)

the specification of which

☒ is attached hereto  
OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION - Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number ☐ OR ☐ Correspondence address below  
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State

Zip

Country

Telephone

Fax

I hereby declare that all statements are made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐

A petition has been filed for this unsigned inventor

Given Name Charles E., Jr.  
(first and middle [if any])

Family Name Polk  
or Surname

Inventor's  
Signature

*Charles E. Polk, Jr.*

Date

*9/18/03*

Residence: City

Athens

State

AL

Country

US

Citizenship

US

Mailing Address

612 Box Street

Mailing Address

612 Box Street

City

Athens

State

AL

Zip

35611

Country

US

NAME OF SECOND INVENTOR:

☐

A petition has been filed for this unsigned inventor

Given Name Clint S.  
(first and middle [if any])

Family Name Coleman  
or Surname

Inventor's  
Signature

*Clint S. Coleman*

Date

*9/18/03*

Residence: City

Huntsville

State

AL

Country

US

Citizenship

US

Mailing Address

5706 Macon Drive

Mailing Address

5706 Macon Drive

City

Huntsville

State

AL

Zip

35802

Country

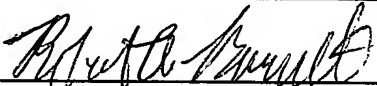
US

☐

Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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## DECLARATION - Utility or Design Patent Application

|   |   |   |                 |
|---|---|---|-----------------|
| <b>NAME OF THIRD INVENTOR:</b>  |   | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                 |
| Given Name<br>(first and middle [if any])   | Robert A.   | Family Name<br>or Surname   | Barrett         |
| Inventor's<br>Signature   |  |   | Date<br>9/18/03 |
| Residence: City   | Madison   | State   | AL              |
|   |   | Country   | US              |
| Citizenship US  |   |   |                 |
| Mailing Address 120 Jackson Avenue  |   |   |                 |
| Mailing Address 120 Jackson Avenue  |   |   |                 |
| City  | Madison   | State   | AL              |
|   |   | Zip   | 35758           |
| Country   |   | US  |                 |
| <b>NAME OF FOURTH INVENTOR:</b>   |   | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                 |
| Given Name<br>(first and middle [if any])   |   |   |                 |
| Family Name<br>or Surname   |   |   |                 |
| Inventor's<br>Signature   |   |   | Date            |
| Residence: City   | State   | Country   | Citizenship     |
| Mailing Address   |   |   |                 |
| Mailing Address   |   |   |                 |
| City  | State   | Zip   | Country         |
| <input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto. |   |   |                 |

Please type a plus sign (+) inside this box→ ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |                      |   |                    |
|--|----------------------|---|--------------------|
| <b>POWER OF<br/>ATTORNEY OR<br/>AUTHORIZATION OF<br/>AGENT</b> | Application Number   |   |                    |
|  | Filing Date          |   | September 22, 2003 |
|  | First Named Inventor |   | Polk, et al.       |
|  | Title                | System and Method for Interleaving Forward Error Correction Code Words<br>Across Multiple Communication Connections |                    |
|  | Group Art Unit       |   |                    |
|  | Examiner Name        |   |                    |
| Attorney Docket Number   |                      | 710101.1170   |                    |

I hereby appoint:

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24504

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☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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Individual Name

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name Kevin W. Schneider, Chief Technology Officer, ADTRAN, Inc.

Signature

Date

18-Sep-03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒

\*Total of 1 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Polk, et al.

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: \_\_\_\_\_

Entitled: System and Method for Interleaving Forward Error Correction Code Words Across Multiple Communication Connections

ADTRAN, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

18-Sep-03  
Date

Kevin Schneider  
Typed or printed name  
[Signature]  
Signature

Chief Technology Officer, ADTRAN, Inc.  
Title

**ASSIGNMENT  
OF UTILITY PATENT APPLICATION**

WHEREAS, the following parties:

**Name**

**Address**

Charles E. Polk, Jr.

612 Box Street, Athens, Alabama, 35611

Clint S. Coleman

5706 Macon Drive, Huntsville, Alabama, 35802

Robert A. Barrett

120 Jackson Avenue, Madison, Alabama, 35758

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements ("invention(s)") as described and set forth in the below-identified utility application for United States Letters Patent entitled:

**SYSTEM AND METHOD FOR INTERLEAVING FORWARD ERROR CORRECTION  
CODE WORDS ACROSS MULTIPLE COMMUNICATION CONNECTIONS,**

which was:

- ☐ executed on even date herewith,
- ☒ filed with the United States Patent and Trademark Office (USPTO) on \_\_\_\_\_, and assigned Serial No. \_\_\_\_\_, and
- ☐ further described in U.S. Provisional application entitled \_\_\_\_\_, filed with the USPTO on \_\_\_\_\_, and assigned Serial No. \_\_\_\_\_.

WHEREAS, ADTRAN, Inc., having a place of business at 901 Explorer Blvd, Huntsville, Alabama, 35806, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in and to said invention(s), said utility application, said provisional application, and any U.S. and foreign patents which are related to the same.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by ASSIGNOR, ASSIGNOR has sold, assigned and transferred and does hereby sell, assign and

transfer unto ASSIGNEE, and ASSIGNEE'S successors and assigns, (a) the entire right, title and interest, for the United States of America, in and to said invention(s), said utility application, and said provisional application and all the rights and privileges in any application and under any and all patents that may be granted in the U.S. for said inventions, including all corresponding provisional, continuation, continuation-in-part, divisional, reissue, and reexamination applications; and (b) the entire right, title and interest in and to said invention(s), said utility application, and said provisional application for all countries foreign to the U.S., including all rights of priority arising from them, and all the rights and privileges under any and all forms of protection, including patents, that may be granted in said countries foreign to the U.S. for them.

ASSIGNOR authorizes ASSIGNEE to make application for such protection in its own name and maintain such protection in any and all countries foreign to the U.S., and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from ASSIGNOR, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

ASSIGNOR hereby consents that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of ASSIGNEE to apply for patent or other form of protection for said inventions, said utility application, or said provisional application and to claim the aforesaid benefit of the right of priority.

ASSIGNOR requests that any and all patents for said inventions be issued to ASSIGNEE in the U.S. and to ASSIGNEE in all countries foreign to the U.S., or to such nominee as ASSIGNEE may designate.

ASSIGNOR covenants and agrees that, when requested, ASSIGNOR shall, without charge to ASSIGNEE but at ASSIGNEE'S expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient in connection with the patent applications, patents, or other forms of protection of said invention(s), and for the defense and protection thereof if challenged in the court of law.

ASSIGNOR authorizes ASSIGNEE or its agents to insert, on ASSIGNOR'S behalf, the filing date and/or serial number above pertaining to the utility application and/or the provisional application, if not known as of the date of execution of this document.

Charles E. Polk, Jr.  
Charles E. Polk, Jr

Date: 9/18/03

United States of America

State of Alabama

County of Madison

On this 18 day of September, 2003, before me personally came **Charles E. Polk, Jr** to me known to be the individual described above and who executed the foregoing instrument, or acknowledged execution of the same.

Martha J. Lane  
Notary Public

April 2, 2006  
My Commission Expires (Date)



Clint S. Coleman

Clint S. Coleman

Date: 9/18/03

United States of America

State of Alabama

County of Madison

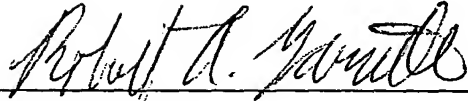
On this 18 day of September, 20 03, before me personally came **Clint S. Coleman** to me known to be the individual described above and who executed the foregoing instrument, or acknowledged execution of the same.

Martha J. Boone

Notary Public

April 2, 2003

My Commission Expires (Date)



Robert A. Barrett

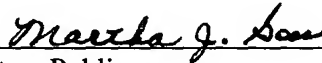
Date: 9/18/03

United States of America

State of Alabama

County of Madison

On this 18 day of September, 20 03, before me personally came **Robert A. Barrett** to me known to be the individual described above and who executed the foregoing instrument, or acknowledged execution of the same.



Notary Public

April 1, 2006

My Commission Expires (Date)